

# NC function theory, NC convex sets, and operator theory

## Lecture I: Background. Rudiments of NC function theory

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The entire series is based on joint work with many collaborators, as well as the work of **many** others.

# The model classification theorem

## Theorem (Gelfand)

*Let  $X$  and  $Y$  be compact Hausdorff topological spaces.  
 $C(X)$  is isomorphic to  $C(Y)$  if and only if  $X \cong Y$ .*

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*In fact, if  $\varphi : C(X) \rightarrow C(Y)$  is an isomorphism, then there exists a homeomorphism  $h : Y \rightarrow X$  such that*

$$\varphi(f) = f \circ h, \quad f \in C(X).$$

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Thus  $\varphi(f) = f \circ h$ . (The converse is obvious.)

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- BTW — we are primarily interested in non-selfadjoint algebras (there will be also operator systems and  $C^*$ -algebras later on).

# Example I: Complete Pick algebras

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$$H_d^2 = \left\{ f(z) = \sum_n a_n z^n : \langle f, f \rangle := \sum_n w_n |a_n|^2 < \infty \right\}$$

where  $z = (z_1, \dots, z_d)$ ,  $n = (n_1, \dots, n_d)$ , and  $z^n = z_1^{n_1} \cdots z_d^{n_d}$ , and  $w_n = \frac{n_1! \cdots n_d!}{(n_1 + \dots + n_d)!}$ .

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Define  $M_f(h) = fh$ . Then  $f \leftrightarrow M_f$  makes  $\text{Mult } H_d^2$  into an **operator algebra** with norm

$$\|f\|_{\text{Mult } H_d^2} = \|M_f\|$$



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## Problem A

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## Problem B

Let  $V, W \subset \mathbb{B}_d$  be two varieties. When are  $\mathcal{M}_V$  and  $\mathcal{M}_W$  isomorphic? Isometrically isomorphic? Completely Isometrically isomorphic? Similar/unitarily equivalent?

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- (i)  $\mathcal{M}_V$  and  $\mathcal{M}_W$  are (completely) isometrically isomorphic.
- (ii) There exists a conformal automorphism  $\alpha \in \text{Aut}(\mathbb{B}_d)$  such that

$$\alpha(W) = V.$$

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- (ii)  $V$  and  $W$  are biholomorphically equivalent.*
- (iii) There is an invertible linear map on  $\mathbb{C}^d$  which maps  $V$  onto  $W$ .*

# Algebraic isomorphism $\Rightarrow$ biholomorphic equivalence

## Theorem (Davidson-Ramsey-S, 2015)

*Let  $V, W \subset \mathbb{B}_d$  be varieties in  $\mathbb{B}_d$  which are the union of finitely many irreducible varieties and a discrete variety.*

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2. There is a strengthening: isomorphism implies "multiplier biholomorphism" + the biholomorphism is bi-Lipshitz w.r.t. to the pseudohyperbolic metric. Even with the added structure, the converse fails\*.
3. Is there a reasonable geometric structure that encodes the structure of the algebras  $\mathcal{M}_V$ ?

## Example II: Universal algebras for monomial ideals

Let  $\mathcal{I} \triangleleft \mathbb{C}\langle z_1, \dots, z_d \rangle$  be an ideal generated by monomials.

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The operators  $S_1^{\mathcal{I}}, \dots, S_d^{\mathcal{I}}$ , are the generators of Matsumoto’s original subshift  $C^*$ -algebras. The result says that the (**non-selfadjoint**) operator algebra they generate, encodes faithfully the combinatorial structure in the subshift.



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. . . in a Banff meeting in 2015 it dawned on me that these and other algebras are all algebras of bounded **NC analytic functions** (and that this could be useful).

\* Photo by Brentkearney, taken from

[https://commons.wikimedia.org/wiki/File:Banff\\_International\\_Research\\_Station.jpg](https://commons.wikimedia.org/wiki/File:Banff_International_Research_Station.jpg) .

And now we begin . . .

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$$S^{-1}XS = (S^{-1}X_1S, \dots, S^{-1}X_dS)$$

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**Example:** the **nc unit ball** is  $\mathfrak{B}_d := \{X \in \mathbb{M}^d : \|X\| < 1\}$ , where

$$\|X\| := \left\| \sum_{j=1}^d X_j X_j^* \right\|^{\frac{1}{2}}$$

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**Example:** free polynomials

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**Example:** Let  $U \subseteq \mathbb{C}$  and  $\Omega$  the set of all matrices with spectrum in  $U$ . Then  $\Omega$  is an open nc set (in  $\mathbb{M}^1$ ) and every  $f \in \mathcal{O}(U)$  is an nc holomorphic function on the set  $\Omega$  (there is a very interesting converse).

# NC holomorphic functions are classically holomorphic



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## Theorem (AM, HKM, KVV, T)

*Let  $\Omega$  be an open nc set, and let  $f : \Omega \rightarrow \mathbb{M}^1$  be a nc holomorphic function. Then for every  $n$ , the function  $f$  restricts to a holomorphic function  $f : \Omega_n \rightarrow M_n$*

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- (ii)  $f$  continuous on  $\Omega_{2n} \Rightarrow$  the directional derivative exists.

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## Theorem (KVV)

Let  $f$  be a nc function on  $\Omega$ . For every  $X \in \Omega_m$ ,  $Y \in \Omega_n$ , there exists a linear operator  $\Delta f(X, Y)$  such that

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**Consequence:** nc functions "respect" joint spectrum.

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- (x) And more on the way.

Agler, Alpay, Ball, Helton, Kaliuzhnyi-Verbovetskyi, Klep, Marx, McCarthy, McCullough, Pascoe, Popescu, Timoney, Tulley-Doyle, Vinnikov, and many many others . . .



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- (vi) Automorphism groups of nc domains.
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- (viii) Interpolation and **extension theorems**.  $\Leftarrow$  **striking diff. from classical**
- (ix) Etc.
- (x) And more on the way.

Agler, Alpay, Ball, Helton, aliuzhnyi-Verbovetskyi, Klep, Marx, McCarthy, McCullough, Pascoe, Popescu, Timoney, Tulley-Doyle, Vinnikov, and many many others . . .

## Bounded nc holomorphic functions in the ball

$H^\infty(\mathfrak{B}_d)$  = bounded nc (holomorphic) functions  $f : \mathfrak{B}_d \rightarrow \mathbb{M}^1$

$$\mathfrak{B}_d := \left\{ X \in \mathbb{M}^d : \|X\| < 1 \right\}$$

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**Theorem (Corollary of general theory in this special case)**

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- $z^w = z_{w_1} z_{w_2} \dots z_{w_k}$  ("free monomial") and likewise  $X^w = X_{w_1} \dots X_{w_k}$ .

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Viewing them as “function algebras” might be useful, suggests new ways of thinking about them and their quotients.

# Noncommutative subvarieties of the nc unit ball

## Definition (nc variety)

A **nc subvariety of the ball** (or simply a **variety**) is a set of the form

$$\mathfrak{V} = \{X \in \mathfrak{B}_d : f(X) = 0 \text{ for all } f \in S\}$$

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## Theorem (Ball-Marx-Vinnikov/Agler-McCarthy)

Let  $\mathfrak{V} \subseteq \mathfrak{B}_d$  be a variety. If  $f : \mathfrak{V} \rightarrow \mathbb{M}^1$  is a bounded nc function, then there exists  $F \in H^\infty(\mathfrak{B}_d)$  such that

$$f = F|_{\mathfrak{V}}$$

and

$$\sup_{X \in \mathfrak{B}_d} \|F(X)\| = \sup_{X \in \mathfrak{V}} \|f(X)\|$$



# Algebras of bounded nc functions on varieties

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## Motivating fact

The class of algebras  $H^\infty(\mathfrak{V})$  (and  $A(\mathfrak{V})$ ) contains many classes of operator algebras of interest.

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## On the way ...

Develop function theory and complex geometry in the nc unit ball and on its varieties.



# To be continued . . .

## General references

- (i) *Foundations of Free Noncommutative Function Theory*  
Kaliuzhnyi-Verbovetskyi and Vinnikov (The Bible\*),
- (ii) *Aspects of Non-commutative Function Theory*, Agler and McCarthy (a readable survey).

\* - More precisely: *The Book of Leviticus*.