

# Interpolating sequences and Kadison–Singer

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## Definition

A sequence  $(z_n)$  in  $\mathbb{D}$  is interpolating for  $H^\infty$  if for every sequence  $(\lambda_n) \in \ell^\infty$ , there exists  $f \in H^\infty$  with

$$f(z_n) = \lambda_n \quad (n \in \mathbb{N}).$$

Write  $(z_n)$  satisfies (IS).

## Why study interpolating sequences?

Let

$$\mathfrak{M} = \{\rho : H^\infty \rightarrow \mathbb{C} : \rho \text{ is linear, multiplicative}\} \setminus \{0\}$$

and identify  $\mathbb{D} \subset \mathfrak{M}$  via point evaluations.

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### Proposition

If  $(z_n)$  is an interpolating sequence, then  $\overline{\{z_n : n \in \mathbb{N}\}} \subset \mathfrak{M}$  is homeomorphic to  $\beta\mathbb{N}$ . In particular,  $\mathfrak{M}$  is not metrizable and has cardinality  $2^{2^{\aleph_0}}$ .

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An analytic disc in  $\mathfrak{M}$  is the image of a continuous injection  $L : \mathbb{D} \rightarrow \mathfrak{M}$  such that  $\hat{f} \circ L$  is analytic for every  $f \in H^\infty$ .

### Theorem (Hoffman, 1967)

A point  $m \in \mathfrak{M}$  lies in an analytic disc if and only if it belongs to the closure of an interpolating sequence.

## Carleson's interpolation theorem

A sequence  $(z_n)$  in  $\mathbb{D}$

(SS) is strongly separated if there exists  $\varepsilon > 0$  such that for all  $k \in \mathbb{N}$ , there exists  $f_k \in H^\infty$  with  $\|f_k\|_\infty \leq 1$  and  $f_k(z_j) = \varepsilon \delta_{kj}$ .

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- (WS) is weakly separated if there exists  $\varepsilon > 0$  such that whenever  $j \neq k$ , there exists  $f_{kj} \in H^\infty$  with  $\|f_{kj}\|_\infty \leq 1$  and  $f_{kj}(z_j) = 0$  and  $f_{kj}(z_k) = \varepsilon$ . Equivalently,  $(z_n)$  is separated in the Poincaré metric of  $\mathbb{D}$ .



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- (C) satisfies the Carleson measure condition if there exists  $M > 0$  so that

$$\sum_j (1 - |z_j|^2) |f(z_j)|^2 \leq M \int_{\partial\mathbb{D}} |f|^2 dm \quad \text{for all } f \in \mathbb{C}[z].$$

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### Theorem (Carleson, 1958)

For a sequence  $(z_n)$  in  $\mathbb{D}$ , (IS)  $\Leftrightarrow$  (SS)  $\Leftrightarrow$  (WS) + (C).

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### Example

If  $|z_n| \rightarrow 1$  exponentially fast, then  $(z_n)$  is an interpolating sequence.

## A Hilbert space proof

Shapiro–Shields (1962): Different proof of Carleson’s theorem, reformulate (IS) in terms of the Hardy space

$$H^2 = \left\{ f = \sum_{n=0}^{\infty} a_n z^n \in \mathcal{O}(\mathbb{D}) : \sum_{n=0}^{\infty} |a_n|^2 < \infty \right\}.$$

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$H^2$  is a **Hilbert function space** on  $\mathbb{D}$ : For all  $f \in H^2$  and  $w \in \mathbb{D}$ ,

$$f(w) = \langle f, K(\cdot, w) \rangle_{H^2}, \quad \text{where} \quad K(z, w) = \frac{1}{1 - z\bar{w}}$$

is the **reproducing kernel** of  $H^2$ .

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is the **reproducing kernel** of  $H^2$ . The **multiplier algebra** is

$$\text{Mult}(H^2) = \{ \varphi : \mathbb{D} \rightarrow \mathbb{C} : \varphi \cdot f \in H^2 \text{ for all } f \in H^2 \},$$

normed by  $\|\varphi\|_{\text{Mult}(H^2)} = \|f \mapsto \varphi \cdot f\|_{B(H^2)}$ .

### Fact

$\text{Mult}(H^2) = H^\infty$  with equality of norms.

## Other multiplier algebras

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### Key property

$H^2$  and  $\mathcal{D}$  are complete Pick spaces.

## Complete Pick spaces

Let  $\mathcal{H}$  be a Hilbert function space on  $X$  with kernel  $K$ .  $\mathcal{H}$  is a complete Pick space if

$$K(z, w) = \frac{1}{1 - \langle b(z), b(w) \rangle}$$

for some function  $b : X \rightarrow \ell_2$ .

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### Examples of complete Pick spaces

- ▶ Hardy space  $H^2$ , where  $K(z, w) = \frac{1}{1 - z\bar{w}}$ ,
- ▶ Dirichlet space  $\mathcal{D}$ , where  $K(z, w) = -\frac{1}{z\bar{w}} \log(1 - z\bar{w})$  (Agler, 1988).
- ▶ Drury-Arveson space  $H_d^2$  on the unit ball  $\mathbb{B}_d \subset \mathbb{C}^d$  (a.k.a. symmetric Fock space) with kernel

$$K(z, w) = \frac{1}{1 - \langle z, w \rangle}.$$

The Bergman space  $L_a^2 = \mathcal{O}(\mathbb{D}) \cap L^2(\mathbb{D})$  is **not** a complete Pick space.

## Interpolating sequences for complete Pick spaces

Let  $\mathcal{H}$  be a complete Pick space on  $X$  with kernel  $K$ . A sequence  $(z_n)$  in  $X$

(IS) is an interpolating sequence if for every sequence  $(\lambda_n) \in \ell^\infty$ , there exists  $\varphi \in \text{Mult}(\mathcal{H})$  with

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# Known results about interpolating sequences

## Easy facts

In general,  $(IS) \Rightarrow (SS)$  and  $(IS) \Rightarrow (WS) + (C)$ .



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For the Dirichlet space,  $(WS) + (C) \Leftrightarrow (IS)$ , but  $(SS) \not\Leftrightarrow (IS)$ .

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### Theorem (Bøe, 2005)

(WS) + (C)  $\Leftrightarrow$  (IS) for every space on the unit ball  $\mathbb{B}_d$  with kernel

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### Theorem (Agler–McCarthy, 2002)

(WS) + (C)  $\Rightarrow$  (SS) for every complete Pick space.

# The main result

Theorem (Aleman, H., M<sup>c</sup>Carthy, Richter)

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### Theorem (Aleman, H., McCarthy, Richter)

In every complete Pick space,  $(WS) + (C) \Leftrightarrow (IS)$ .

In this case, there exists a linear operator of operator of interpolation, i.e.

$$\text{Mult}(\mathcal{H}) \rightarrow \ell^\infty, \quad \varphi \mapsto (\varphi(z_n)),$$

has a bounded linear right-inverse.

## Grammians

Let  $\mathcal{H}$  be a complete Pick space on  $X$  with kernel  $K$ , let  $(z_n)$  be a sequence in  $X$ . Let  $k_i = K(\cdot, z_i)$  and let

$$G[(z_n)] = \left[ \left\langle \frac{k_i}{\|k_i\|}, \frac{k_j}{\|k_j\|} \right\rangle \right]_{i,j}$$

be the Grammian.

### Proposition

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### Theorem (Marshall–Sundberg, 1994)

$(z_n)$  satisfies (IS) iff  $G[(z_n)]$  is bounded and bounded below.

# Kadison–Singer

## Question (Kadison–Singer, 1959)

Let  $D \subset B(\ell^2)$  be the algebra of all diagonal operators. Does every pure state on  $D$  admit a unique extension to a state on  $B(\ell^2)$ ?

Positive solution by Marcus–Spielman–Srivastava in 2013.



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Equivalent statement (Feichtinger conjecture):

## Theorem (Marcus–Spielman–Srivastava, 2013)

Let  $(v_n)$  be a sequence of unit vectors in a Hilbert space and let  $G = [\langle v_i, v_j \rangle]_{i,j}$  be the Gramian. If  $G$  is bounded, then  $(v_n)$  is a finite union of sequences whose Gramian is bounded and bounded below.

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## Corollary

If  $(z_n)$  satisfies (C), then  $(z_n)$  is a finite union of sequences that satisfy (IS).

## Idea of the proof of $(WS) + (C) \Rightarrow (IS)$

Assume  $(x_n)$  and  $(y_n)$  satisfy  $(IS)$ , their union satisfies  $(WS) + (C)$ .

### Goal

If  $(\lambda_n), (\mu_n) \in \ell^\infty$ , find  $\varphi \in \text{Mult}(\mathcal{H})$  with  $\varphi(x_n) = \lambda_n$  and  $\varphi(y_n) = \mu_n$ .

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## Theorem 1 (Agler–McCarthy, 2002)

$G[(x_n)]$  is bounded below iff there exists a sequence  $(\varphi_n)$  in  $\text{Mult}(\mathcal{H})$  such that  $[M_{\varphi_1} M_{\varphi_2} \cdots]$  is bounded and such that  $\varphi_k(x_n) = \delta_{nk}$ .

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$$[[\varphi_1 \ \varphi_2 \ \cdots] [\psi_1 \ \psi_2 \ \cdots]] \left[ \begin{array}{ccc|ccc} \left[ \begin{array}{ccc} \lambda_1 & 0 & \cdots \\ 0 & \lambda_2 & \cdots \\ \vdots & \vdots & \ddots \end{array} \right] & & 0 & & & \\ & & 0 & \left[ \begin{array}{ccc} \mu_1 & 0 & \cdots \\ 0 & \mu_2 & \cdots \\ \vdots & \vdots & \ddots \end{array} \right] & & \end{array} \right]$$

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## Theorem 2 (Agler–McCarthy, 2002)

$(z_n)$  satisfies (WS) + (C) iff there exists a sequence  $(\varphi_n)$  in  $\text{Mult}(\mathcal{H})$  such that  $[M_{\varphi_1} \ M_{\varphi_2} \ \cdots]^T$  is bounded and such that  $\varphi_k(z_n) = \delta_{nk}$ .

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where  $\varphi_n(x_n) = 1 = \psi_n(y_n)$  and  $\theta_k(x_n) = \delta_{nk}$  and  $\omega_k(x_n) = 0$ .

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Assume  $(x_n)$  and  $(y_n)$  satisfy  $(IS)$ , their union satisfies  $(WS) + (C)$ .

## Goal

If  $(\lambda_n), (\mu_n) \in \ell^\infty$ , find  $\varphi \in \text{Mult}(\mathcal{H})$  with  $\varphi(x_n) = \lambda_n$  and  $\varphi(y_n) = \mu_n$ .

Let

$$\varphi = \begin{bmatrix} [\varphi_1 & \varphi_2 & \cdots] & [\psi_1 & \psi_2 & \cdots] \end{bmatrix} \begin{bmatrix} \begin{bmatrix} \lambda_1 & 0 & \cdots \\ 0 & \lambda_2 & \cdots \\ \vdots & \vdots & \ddots \end{bmatrix} & 0 \\ 0 & \begin{bmatrix} \mu_1 & 0 & \cdots \\ 0 & \mu_2 & \cdots \\ \vdots & \vdots & \ddots \end{bmatrix} \end{bmatrix} \begin{bmatrix} \begin{bmatrix} \theta_1 \\ \theta_2 \\ \vdots \end{bmatrix} \\ \begin{bmatrix} \omega_1 \\ \omega_2 \\ \vdots \end{bmatrix} \end{bmatrix},$$

where  $\varphi_n(x_n) = 1 = \psi_n(y_n)$  and  $\theta_k(x_n) = \delta_{nk}$  and  $\omega_k(x_n) = 0$ .

Then  $\varphi \in \text{Mult}(\mathcal{H})$  with

$$\varphi(x_n) = \lambda_n \quad \text{and} \quad \varphi(y_n) = \mu_n.$$

## Column multipliers and row multipliers

The proof does not require the Marcus–Spielman–Srivastava theorem for every space  $\mathcal{H}$  with the following property:

Property (BC)  $\Rightarrow$  (BR)

For all sequences  $(\varphi_n)$  in  $\text{Mult}(\mathcal{H})$ ,

$$\begin{bmatrix} M_{\varphi_1} \\ M_{\varphi_2} \\ \vdots \end{bmatrix} \text{ bounded} \quad \Rightarrow \quad [M_{\varphi_1} \ M_{\varphi_2} \ \cdots] \text{ bounded.}$$

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This property is satisfied by

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- ▶  $H_d^2$  for  $d < \infty$  (Aleman–H.–McCarthy–Richter).

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### Question

Does every complete Pick space satisfy (BC)  $\Rightarrow$  (BR)?

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▶ An application

Thank you!

## Non-essentially normal multipliers

An operator  $T \in \mathcal{B}(\mathcal{H})$  is **essentially normal** if  $TT^* - T^*T$  is compact.

### Easy fact

There exists a multiplication operator  $M_\varphi$  on  $H^2$  which is an isometry with infinite dimensional cokernel. In particular,  $M_\varphi$  is not essentially normal.

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There exists a multiplication operator on the Dirichlet space  $\mathcal{D}$  which is not essentially normal.

### Proposition (Aleman, H., McCarthy, Richter)

Let  $\mathcal{H}$  be a complete Pick space on a connected topological space  $X$  with jointly continuous kernel  $K$ . If  $K$  is unbounded, then there exists a multiplication operator which is not essentially normal.

This applies to every complete Pick space mentioned in this talk.

Thank you!